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| APPLICATION NO.      | FILING DATE                    | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.          | CONFIRMATION NO. |
|----------------------|--------------------------------|----------------------|------------------------------|------------------|
| 10/797,906           | 03/09/2004                     | Masami Suzuki        | 12587-048001 /<br>D03-047/01 | 3382             |
| 26212<br>FISH & RICH | 7590 12/12/2008<br>ARDSON P.C. |                      | EXAMINER                     |                  |
| P.O. BOX 1022        |                                | DUNHAM, JASON B      |                              |                  |
| MINNEAPOL            | IS, MN 55440-1022              |                      | ART UNIT                     | PAPER NUMBER     |
|                      |                                |                      | 3625                         |                  |
|                      |                                |                      |                              |                  |
|                      |                                |                      | NOTIFICATION DATE            | DELIVERY MODE    |
|                      |                                |                      | 12/12/2008                   | ELECTRONIC       |

## Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

PATDOCTC@fr.com

## Advisory Action Before the Filing of an Appeal Brief

| Application No. | Applicant(s)  |  |
|-----------------|---------------|--|
| 10/797,906      | SUZUKI ET AL. |  |
| Examiner        | Art Unit      |  |
| JASON B. DUNHAM | 3625          |  |

|   | JASON B. DUNHAM   | 3625  |  |  |  |  |  |
|---|---|---|--|--|--|--|--|
| The MAILING DATE of this communication appe   | ars on the cover sheet with the o   | orrespondence add   | ress                                     |  |  |  |  |
| THE REPLY FILED 24 November 2008 FAILS TO PLACE THIS APPLICATION IN CONDITION FOR ALLOWANCE.  |   |   |  |  |  |  |  |
| The reply was filed after a final rejection, but prior to or on application, applicant must timely file one of the following application in condition for allowance; (2) a Notice of Appe for Continued Examination (RCE) in compliance with 37 C periods:     The period for reply expires   | the same day as filing a Notice of a<br>replies: (1) an amendment, affidavi<br>eal (with appeal fee) in compliance<br>FR 1.114. The reply must be filed | Appeal. To avoid abar<br>t, or other evidence, w<br>with 37 CFR 41.31; or | hich places the (3) a Request            |  |  |  |  |
| b) The period for reply expires on: (1) the mailing date of this A no event, however, will the statutory period for reply expire la Examiner Note: If box 1 is checked, check either box (a) or (   | dvisory Action, or (2) the date set forth<br>ater than SIX MONTHS from the mailing  | date of the final rejection   | n.                                       |  |  |  |  |
| MONTHS OF THE FINAL REJECTION. See MPEP 706.07(   | ).  |   |  |  |  |  |  |
| Extensions of time may be obtained under 37 CFR 1.136(a). The data-<br>have been filed is the date for purposes of otetermining the period of ext<br>under 37 CFR 1.17(a) is calculated from: (1) the expiration date of the s<br>set forth in (b) above, if checked. Any reply received by the Office later<br>may reduce any earned patient term adjustment. See 37 CFR 1.704(b).<br>NOTICE OF APPEAL | ension and the corresponding amount of<br>hortened statutory period for reply origing<br>than three months after the mailing date                       | of the fee. The appropria<br>nally set in the final Office                | ate extension fee<br>e action; or (2) as |  |  |  |  |
| <ol> <li>The Notice of Appeal was filed on A brief in comp<br/>filing the Notice of Appeal (37 CFR 41.37(a)), or any exter<br/>Notice of Appeal has been filed, any reply must be filed with</li> </ol>   | sion thereof (37 CFR 41.37(e)), to  | avoid dismissal of the  |  |  |  |  |  |
| AMENDMENTS  |   |   |  |  |  |  |  |
| <ol> <li>The proposed amendment(s) filed after a final rejection, t         <ul> <li>(a) They raise new issues that would require further cor</li> <li>(b) They raise the issue of new matter (see NOTE below</li> </ul> </li> </ol>  | nsideration and/or search (see NOT<br>w);   | E below);   |  |  |  |  |  |
| <ul><li>(c) They are not deemed to place the application in beti<br/>appeal; and/or</li></ul>   | ter form for appeal by materially red   | lucing or simplifying the   | ne issues for                            |  |  |  |  |
| (d) They present additional claims without canceling a c<br>NOTE: (See 37 CFR 1.116 and 41.33(a)).  | corresponding number of finally reje  | ected claims.   |  |  |  |  |  |
| 4. The amendments are not in compliance with 37 CFR 1.12  | 21. See attached Notice of Non-Co   | mpliant Amendment (I  | PTOL-324).                               |  |  |  |  |
| <ol><li>Applicant's reply has overcome the following rejection(s):</li></ol>  |   |   |  |  |  |  |  |
| <ol> <li>Newly proposed or amended claim(s) would be all<br/>non-allowable claim(s).</li> </ol>   | owable if submitted in a separate, t  | imely filed amendmer  | nt canceling the                         |  |  |  |  |
| 7. If or purposes of appeal, the proposed amendment(s); a) [now the new or amended claims would be rejected is proved the status of the claim(s) is (or will be) as follows: Claim(s) allowed: Claim(s) allowed: Claim(s) rejected: Claim(s) are claim(s).  |   | be entered and an e   | xplanation of                            |  |  |  |  |
| Claim(s) withdrawn from consideration:  |   |   |  |  |  |  |  |
| AFFIDAVIT OR OTHER EVIDENCE   |   |   |  |  |  |  |  |
| <ol> <li>The affidavit or other evidence filed after a final action, but<br/>because applicant failed to provide a showing of good and<br/>was not earlier presented. See 37 CFR 1.116(e).</li> </ol>   | I sufficient reasons why the affidavi   | t or other evidence is  | necessary and                            |  |  |  |  |
| <ol> <li>The affidavit or other evidence filed after the date of filing<br/>entered because the affidavit or other evidence failed to o<br/>showing a good and sufficient reasons why it is necessary</li> </ol>  | vercome <u>all</u> rejections under appear<br>and was not earlier presented. Se   | and/or appellant fail<br>ee 37 CFR 41.33(d)(1                             | s to provide a<br>).                     |  |  |  |  |
| <ol> <li>The affidavit or other evidence is entered. An explanation<br/>REQUEST FOR RECONSIDERATION/OTHER</li> </ol>  | n of the status of the claims after er  | ntry is below or attach   | ed.                                      |  |  |  |  |
| The request for reconsideration has been considered but<br>See Continuation.  | does NOT place the application in   | condition for allowan   | ce because:                              |  |  |  |  |
| 12. Note the attached Information Disclosure Statement(s). ( 13. Other:   | PTO/SB/08) Paper No(s).   |   |  |  |  |  |  |
| /Jeffrey A. Smith/<br>Supervisory Patent Examiner, Art Unit 3625  |   |   |  |  |  |  |  |

Continuation of 3 and 11: Applicant amended claim1 to include a limitation reciting "receiving, at a third party facilitator of a transaction, a file including item description data which describes items, and enhanced data which specifies an approach for conducting the transaction and which supplies value benchmarks for the items". This limitation and the limitations presented in new claims 38-58 would require further consideration and a new search.